

1085-014

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT OPERATION

In re Application of:

Sievers et al.

Serial No.: 09/787/688

Group Art Unit: ----

Filed : September 17, 1999

Examiner: -----

For: SURFACE-TREATED MINERAL MATERIALS  
AND PROCESS FOR THEIR PRODUCTION

New York, NY 10036  
October 9, 2001

Hon. Commissioner of Patents  
& Trademarks  
Washington, D.C. 20231

LETTER REGARDING ASSIGNMENT OF APPLICATION

Sirs:

Enclosed are two (2) assignments of the above-mentioned application assigning the above-identified from

1. Mr. Fahrenkrog to Renoa Steintechnik GmbH.
2. Renosa Steintechnik GmbH to Mr. Sievers.

Kindly record this assignment in the above-identified  
International Application.

Respectfully submitted,

ABC

Alan B. Clement

Reg. 34,563

MAILING ADDRESS

Hedman & Costigan, P.C.  
1185 Avenue of the Americas  
New York, New York 1003-2601  
(212) 302-8989

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Washington, D.C. 20231

on October 9, 2001

ABC

Alan B. Clement

Respectfully submitted,

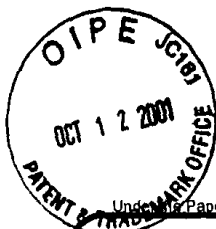
ABC

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HEDMAN & COSTIGAN, P.C.  
1185 Avenue of the Americas  
New York, NY 10036  
(212) 302-8989



PTO/SB/17 (08-00)  
Approved for use through 10/31/2002. OMB 0651-0032  
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# FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 345.00)

## Complete if Known

Application Number	09/787,688
Filing Date	September 17, 1999
First Named Inventor	Sievers
Examiner Name	
Group Art Unit	
Attorney Docket No.	1085-014

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 08-1540  
Deposit Account Name: HEDMAN & COSTIGAN, P.C.

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 690	201 345	Utility filing fee	
106 310	206 155	Design filing fee	
107 480	207 240	Plant filing fee	
108 690	208 345	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$)

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent	-20** =	X	
Multiple Dependent	-3** =	X	

\*\*or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 78	202 39	Independent claims in excess of 3
104 260	204 130	Multiple dependent claim, if not paid
109 78	209 39	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	65.00
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 380	216 190	Extension for reply within second month	200
117 870	217 435	Extension for reply within third month	
118 1,360	218 680	Extension for reply within fourth month	
128 1,850	228 925	Extension for reply within fifth month	
119 300	219 150	Notice of Appeal	
120 300	220 150	Filing a brief in support of an appeal	
121 260	221 130	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,210	241 605	Petition to revive - unintentional	
142 1,210	242 605	Utility issue fee (or reissue)	
143 430	243 215	Design issue fee	
144 580	244 290	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 240	126 240	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	80.00
146 690	246 345	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 690	249 345	For each additional invention to be examined (37 CFR § 1.129(b))	
179 690	279 345	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify)

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 345.00)

## SUBMITTED BY

Name (Print/Type)	Alan B. Clement	Registration No. (Attorney/Agent)	34,563	Telephone	212-302-8989
Signature		Date	10/9/01		

## Complete (if applicable)

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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Washington, D.C. 20231

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER  
35 USC §371 IN THE UNITED STATES DESIGNATED OFFICE**

In response to the Notification of Missing Requirements  
Under 35 U.S.C. §371 applicant hereby submits:

1. Declaration of Mr. Thomas Seivers.
2. Declaration of Herbert Fahrenkrog.
3. Power of Attorney by Assignee of Record.
4. Copy of Notice of Notification of Missing Requirements Under  
35 U.S.C. §371.

Applicant believes that these documents in combination with the enclosed check satisfy the requirements under the Notification.

Respectfully submitted,

*ABC*

Alan B. Clement  
Reg. No. 34,563

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New York, NY 10036  
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on

*October 9, 2001*

*ABC*

Alan B. Clement



JC10 Rec'd PCT/PTO 12 OCT 2001

1085-014

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TRANSMITTAL LETTER

Please see enclosed herewith:

- 1) Letter Regarding Assignment of Application; Assignments of rights in invention from Mr. Fahrenkrog to Renosa Steintechnik GmbH with accompanying PTO Form 1595; Assignment of rights to invention from Renosa Steintechnik GmbH to Mr. Sievers with accompanying PTO Form 1595;
- 2) Response to Notification of Missing Requirements Under 35 U.S.C. §371 including:
  - a) Declaration of Thomas Sievers;
  - b) Declaration of Herbert Fahrenkrog;
  - c) Power of Attorney from assignee of record; and
  - d) Copy of Notification of Missing Requirements;
- 3) Petition for two month extension of Time Under 37 C.F.R. 1.136(a);

7/PCT Rec'd 12 OCT 2001

- 4) Fee transmittal form (PTO/SB/17); check for total of \$345.00 including \$200.00 fee for Extension of Time; surcharge of \$65.00 for late submission of Declaration; \$80.00 recording fee; and
- 5) Supplemental Information Disclosure Statement.

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The commissioner is hereby authorized to charge attorney's account No. 08-1540 for any additional fees.

Respectfully submitted,

*Albert*

Alan B. Clement  
Reg. No. 34,563

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HEDMAN & COSTIGAN, P.C.  
1185 Avenue of the Americas  
New York, NY 10036  
(212) 302-8989

10/18/2001 KAYPASH 00000034 09787688

02 FC:254

65.00 OP

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Washington, D.C. 20231

on

*Albert*  
October 9, 2001

Alan B. Clement